American National Standard (ANS) Formal Submittal Checklist

PLEASE NOTE: This document is used to transmit the final submittal of a candidate American National Standard to ANSI. A standard should only be submitted to ANSI for approval if all of the appropriate evidence of consensus accompanies it in accordance with the ANSI Essential Requirements: Due process requirements for American National Standards (ANSI Essential Requirements.) *NOTE: Adoptions of ISO or IEC standards require compliance with the ANSI Policy Regarding Rights to Nationally Adopt IEC and ISO Standards or Otherwise Use IEC and ISO Material and the ANSI Procedures for the Adoption of ISO and IEC Standards as American National Standards. Submittal of a standard for approval under the Stabilized Maintenance option requires use of a different form. When a BSR-9 is successfully received by ANSI, an acknowledgement is sent to the submitter. If you do not receive one, please contact psa@ansi.org.

   Year that should be included in the designation, if other than the year of approval as an ANS: ______

2. Title of Standard:
   Authoring and Interchange Framework for Adaptive XML Publishing Specification

3. Project Intent (relates to the status of the standard in terms of ANSI only, e.g., any standard that is not an approved ANS, is a new standard):
   - [ ] Create new ANS
   - [ ] *Adopt identical ISO or IEC standard
   - [ ] *Adopt modified ISO or IEC standard
   - [ ] *AND this adoption revises this current ANS
   - [ ] Revise current ANS
   - [ ] Revise and Redesignate current ANS
   - [ ] Revise, Redesignate and Consolidate current ANS
   - [ ] Revise and Partition current ANS
   - [ ] Reaffirm current ANS
   - [ ] Reaffirm and Redesignate current ANS
   - [ ] Supplement to a current ANS
   - [ ] Addenda to a current ANS under Continuous Maintenance: (this document relates to/updates the following base document that is registered under Continuous Maintenance)
   - [ ] Withdraw current ANS

4. Attach consensus body roster including interest category of each member and vote. (See ANSI Essential Requirements 4.2.1.1.) [ ] Check here to indicate roster is attached.

5. Identify the group that is considered to be the consensus body and whose vote is included in item 13 below:
   NISO Z39.98-201X Voting Pool

6. Balance: The ANSI Essential Requirements (see 1.3, 2.3) state that participants from diverse interest categories shall be sought with the objective of achieving balance on a consensus body. If the consensus body associated with this submittal appears to lack balance as described in the Essential Requirements, please attach evidence or an explanation of outreach efforts undertaken to achieve balance. Note that if the consensus body appears to lack balance, the ANSI BSR will request evidence of outreach if it is not provided with the BSR-9, and thus, the approval process will be delayed.
   Evidence attached?  [ ] Yes  [ ] No  [ ] N/A

7. Did a PINS deliberation take place in connection with this standard (See ANSI Essential Requirements 2.5)?
   [ ] Yes  [ ] No  [ ] N/A  If yes, then attach a copy of the required PINS deliberation report(s).

8. Indicate the total number of unresolved objections to the proposed action on the standard resulting from all public review period(s) only, including ANSI Standards Action 0. Attach evidence of attempted resolution. (See ANSI Essential Requirements 2.6.) Note: Do not include unresolved votes of consensus body members here unless the member also submitted public review comments. Use item 13 to report consensus body votes.

9. Date(s) on which unresolved objections, attempts at resolution and substantive changes, were provided to the consensus body for consideration. Attach evidence of compliance with this requirement (also referred to as “recirculation” or “reconsideration”). (See ANSI Essential Requirements 2.6.)
   Date(s): ______ Not applicable: [ ]

10. Were all unresolved objectors informed in writing of their right to appeal to the standards developer?
    Yes [ ] No [ ] (See ANSI Essential Requirements 2.6.) [ ] Not Applicable, because: No unresolved objections
        Attach documentation of written notification of the right to appeal to standards developer.

11. Did any unresolved objectors complete the appeals process available through the standards developer?
    (See ANSI Essential Requirements 2.8.)
    [ ] Yes (Attach name and contact information for each)  [ ] No  [ ] Not Applicable
12. Date of Final Consensus Body Vote (i.e., date the final vote was closed): June 6, 2012

13. Evidence of Consensus, i.e., the FINAL consensus body vote tally (See ANSI Essential Requirements 2.7.)

<table>
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<tr>
<th>Interest Category</th>
<th>Affirmative(s)</th>
<th>Negative(s)</th>
<th>Negative(s) without comment</th>
<th>Abstention(s)</th>
<th>Not Returned</th>
<th>Total</th>
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<td>0</td>
<td>1</td>
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<td>7</td>
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</table>

TOTALS: 11 0 0 3 3 17

14. State the applicable numerical requirements for consensus as established in your organization’s ANSI-accredited procedures: Approval requires an affirmative vote by a majority of the members of a Voting Pool and at least two-thirds of the ballots cast of those voting, less abstentions and “negative without comments” votes.

☐ Check here to certify that these requirements have been satisfied.

15. Publication: Publication of an ANS is required within 6 months of the date of its approval as such. To request ANSI to provide publication services, please contact the ANSI Publications Department.

16. Check here if statements from patent holders (patent letters of assurance) have been received regarding this proposed ANS. Please attach a copy of all such statements.

17. Certification Statement: Please read and confirm compliance before signing and submitting to ANSI.

I hereby declare the ANSI criteria for approval/withdrawal have been met and that the draft standard was acted on in accordance with section 4.2, Criteria for Approval and Withdrawal of American National Standards of the ANSI Essential Requirements and the following criteria and that evidence to this effect is enclosed or otherwise available for inspection:

- That due process requirements were met.
- That the standard is within the scope previously registered with ANSI.
- That any identified significant conflict with another American National Standard was addressed in accordance with the requirements set-forth in the ANSI Essential Requirements.
- That other known national standards were examined with regard to harmonization and duplication of content; if duplication exists, there is a compelling need for the standard.
- That objectors have been notified in writing of their right to appeal to the standards developer and that all appeals to the standards developer were completed.
- That any known unresolved objection as defined in the ANSI Essential Requirements to the approval/withdrawal of this standard as an American National Standard is documented herein.
- That no substantive changes have been made in the draft standard as listed in Standards Action.
- That we agree to comply fully with the ANSI Patent Policy.
- That we agree to comply with the Commercial Terms and Conditions provision contained in the ANSI Essential Requirements.

I agree that the evidence supporting any statement in this submittal or its attachments may be verified at any time through inspection or audit by the American National Standards Institute, either on its own initiative or upon appeal or request by any substantially concerned party. Reasonable requests for specific information that we receive directly from substantially concerned parties will be honored.

If it develops that misstatements of fact have been made in connection with this submittal, I understand that the standard in question is subject to withdrawal with appropriate public notice with reasons therefor. Furthermore, I understand that our organization may be subject to disqualification for a period of time or withdrawal of accreditation for improper submission of a standard to the Board of Standards Review.

Our organization agrees to maintain the American National Standard by reaffirmation, revision, or withdrawal within five years of the date of ANSI approval.

Submitter’s Name: Cynthia Hodgson
Title: Editor/Consultant
Accredited Standards Developer: National Information Standards Organization (NISO)
Address: One North Charles Street, Suite 1905 City: Baltimore
State: MD Zip: 21201

E-mail/Signature: hodgsonca@verizon.net Fax: (410) 685-5278
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<th>Voter Name</th>
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<th>Category</th>
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