Bridging the Gap Between Publishers and Libraries
Standards to Help Manage Licenses and Use

Book Expo America Conference 2008

Session Overview

Welcome
Background and Goals

License Expression - Todd Carpenter, NISO
ONIX-PL Pilot - Rick Burke, SCELC
SERU - Judy Luther, Informed Strategies
ACAP - Michael Healy, BISG
Future Directions & the importance of our work
BISG/NISO - A thriving partnership

Book Industry Study Group
Supply chain for book publishers and distributors

National Information Standards Organization
Intersection between publishers, libraries and systems providers

Close partnership begun about 18 months ago
Focused on Building bridges between our constituent communities
ALA Annual in Washington, DC - 2007
Book Expo (Now)
Upcoming program at ALA in Anaheim - 2008

License Expression:
Organizing, Communicating and Sharing
Publication License Terms

Todd Carpenter
Managing Director, NISO
Overview of License Expression

A bit about NISO
Licensing overview and background
The problems libraries face with license interpretation
ERMI License Terms and expression
Sharing information among publishers, libraries and users

National Information Standards Organization

- Non-profit industry association accredited by ANSI
- Mission of developing and maintaining technical standards related to information, documentation, discovery and distribution of information
- Represent US interests in information and documentation to the International Organization of Standardization (ISO)
- 82 Voting Members, 24 LSA members as of 2008
  - 25% libraries and library organizations
  - 35% publishers and publishing organizations
  - 40% library systems suppliers and other intermediaries

Staff: 4 professional full-time
Volunteers: 300+ spread out across the world
1997: Early days of licensing

Figure 3. Elements of the Conceptual Model for Rights Transactions


E-Resources Management Initiative (ERMI)

- Asked if anyone was having issues managing licensing information
- DLF launched ERMI initiative in 2002
- Published report in August 2004
  - Ongoing ERMI 2 launched in 2006
E-Resources Management Initiative (ERMI)

Areas for exploration and development recommended in ERMI report:
- Management systems (Now ERMs)
- Management of usage data (SUSHI)
- License terms (ERMI terms dictionary)
- Exchange of terms (LEWG - ONIX-PL)
- Cost-per-use calculation data (CORE)

Massive Investment

 Libraries and publishers invest a tremendous amount of time and energy negotiating license terms

Where do these documents end up after they are signed?
License Interpretation

Can the library use the resource to fulfill Interlibrary Loan requests?

Terms of Use Fields

- Authorized User Definition
- Local Authorized User Definition Indicator
- Fair Use Clause Indicator
- All Rights Reserved Indicator
- Database Protection Override Clause Indicator
- Citation Requirement Detail
- Digitally Copy
- Print Copy
- Scholarly Sharing
- Distance Education
- Interlibrary Loan Print or Fax
- Interlibrary Loan Secure Electronic Transmission
- Interlibrary Loan Electronic

- Course Reserve Print
- Course Reserve Electronic/ Cached Copy
- Electronic Link
- Course Pack Print
- Course Pack Electronic
- Remote Access
- Concurrent Users
- Pooled Concurrent Users
- Other User Restriction Note
- Other Use Restriction Note
**ERMI Permission Encoding**

- Permitted (explicit)
- Prohibited (explicit)
- Permitted (interpreted)
- Prohibited (interpreted)
- Silent (no interpretation)
- Not applicable

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**Benefits of License Encoding and Expression**

- Encoding licenses, storing and sharing them in an electronic format could allow:
  - Increased awareness of the terms
  - Easier to share terms with users
  - Improved compliance with terms
  - Clarity (if desired) about what is in a license
  - Better, faster, and easier negotiation based on clearer understandings (perhaps)
LEWG: Pushing forward

Working on multiple tracks

• ONIX-PL - expansion of commonly used ONIX family of XML messages to communicate publishing information
• Developed a mapping of ERMI terms to ONIX-PL
• Ongoing pilot project to test ONIX-PL
• Planning a survey to assess the need for ongoing maintenance of ERMI data dictionary and other terms

Some final thoughts on license expression

Clearly, communicating license permissions and prohibitions to staff and users is hard

License expression is not a simple process
  Definite cost-benefits analysis needed.
  Consider:
  - Ambiguity versus clarity
  - Not an enforcement mechanism
  - Issues impacting negotiation

Developing tools and improving standards that will make the process more smooth and reduce some challenges
Thank you!

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