American National Standard (ANS) Formal Submittal Checklist

PLEASE NOTE: This document is used to transmit the final submittal of a candidate American National Standard to ANSI. A standard should only be submitted to ANSI for approval if all of the appropriate evidence of consensus accompanies it in accordance with the ANSI Essential Requirements: "Due process requirements for American National Standards (ANSI Essential Requirements.)"

*NOTE: Adoptions of international standards require compliance with ANSI’s Sales & Exploitation Policy.

Please direct questions to: psa@ansi.org or by fax to the PSA Department at (212) 840-2298.

   
   Year that should be included in the designation, if other than the year of approval as an ANS: **2008**

2. Title of Standard:

   NISO Circulation Interchange Part 1: Protocol (NCIP)

3. Project Intent (relates to the status of the standard in terms of ANSI only, e.g., any standard that is not an approved ANSI, is a new standard):

   - Create new standard
   - *Adopt identical international standard (see ANSI Procedures for the National Adoption of ISO and IEC Standards as ANS)*
   - *Adopt modified international standard (see ANSI Procedures for the National Adoption of ISO and IEC Standards as ANS)*
   - *AND this adoption revises this current ANS
   - Revise current standard
   - Revise and Redesignate current standard *(We are adding part numbers to the standard designation; the previous two part standard had identical designation on both parts.)*
   - Revise, Redesignate and Consolidate current standard
   - Revise and Partition current standard
   - Reaffirm current standard
   - Reaffirm and Redesignate current standard
   - Supplement to a current standard
   - Withdraw current standard

4. Attach consensus body roster including interest category of each member and vote. *(See ANSI Essential Requirements 4.2.1.1.)* Identify the group that is considered to be the consensus body and whose vote is included in item 10 below: NISO Voting Members.

5. Indicate the total number of unresolved objections to the proposed action on the standard resulting from all public review period(s) only, including ANSI Standards Action. Attach evidence of attempted resolution. *(See ANSI Essential Requirements 2.5.)* (Note: do not include unresolved votes of consensus body members here; see item 10 for an accounting of such votes.)

6. Date(s) on which unresolved objections (public review/consensus body) were provided to the consensus body for consideration. Attach evidence of compliance with this requirement (also referred to as “recirculation” or “reconsideration”). *(See ANSI Essential Requirements 2.5.)*

   Date(s): ______ Not applicable: ☒

7. Were all unresolved objectors informed of their right to appeal to the standards developer?

   Yes ☐ No ☐ *(See ANSI Essential Requirements 2.5.)* ☒ Not Applicable, because: No unresolved objectors.

   Attach documentation of written notification of the right to appeal to standards developer.

8. Did any unresolved objectors complete the appeals process available through the standards developer? *(See ANSI Essential Requirements 2.7.)*

   ☐ Yes (Name and contact information for each is attached) ☐ No ☒ Not Applicable

9. Date of Final Consensus Body Vote (i.e., date the vote was closed): 3 August 2008
10. Evidence of Consensus, i.e., the FINAL consensus body vote tally (See ANSI Essential Requirements 2.6.)

<table>
<thead>
<tr>
<th>Interest Category</th>
<th>Affirmative(s)</th>
<th>Negative(s)</th>
<th>Negative(s) without comment</th>
<th>Abstention(s)</th>
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<td><strong>14</strong></td>
<td><strong>23</strong></td>
<td><strong>76</strong></td>
</tr>
</tbody>
</table>

11. State the applicable numerical requirements for consensus as established in your ANSI-accredited procedures:

   Approval requires an affirmative vote by a majority of the Voting Pool and at least two-thirds of those voting. The NISO Office will exclude abstentions in counting the total number of potential votes in the consensus Voting Pool.

   ☑ Check here to certify that these requirements have been satisfied.

12. Publication: Publication of an ANS is required within 6 months of the date of its approval as such. To request ANSI to provide publication services, please contact the ANSI Publications Department.

13. ☐ Check here if there are statements from patent holders (patent letters of assurance) that have been received regarding the proposed ANS. Please attach a copy of all such statements.

14. Certification Statement

   Please read and confirm compliance before signing and submitting to ANSI.

I hereby declare the ANSI criteria for approval/withdrawal have been met and that the draft standard was acted on in accordance with section 4.2, Criteria for Approval and Withdrawal of American National Standards of the ANSI Essential Requirements and the following criteria and that evidence to this effect is enclosed or otherwise available for inspection:

- That due process requirements were met.
- That the standard is within the scope previously registered with ANSI.
- That any identified significant conflict with another American National Standard was addressed in accordance with the requirements set-forth in the ANSI Essential Requirements.
- That other known national standards were examined with regard to harmonization and duplication of content; if duplication exists, there is a compelling need for the standard.
- That objectors have been notified in writing of their right to appeal to the standards developer and that all appeals to the standards developer were completed.
- That any known unresolved objection as defined in the ANSI Essential Requirements to the approval/withdrawal of this standard as an American National Standard is documented herein.
- That no substantive changes have been made in the draft standard as listed in Standards Action.
- That we agree to comply fully with the ANSI Patent Policy.

I agree that the evidence supporting any statement in this submittal or its attachments may be verified at any time through inspection or audit by the American National Standards Institute, either on its own initiative or upon appeal or request by any substantially concerned party. Reasonable requests for specific information that we receive directly from substantially concerned parties will be honored.

If it develops that misstatements of fact have been made in connection with this submittal, I understand that the standard in question is subject to withdrawal with appropriate public notice with reasons therefore. Furthermore, I understand that our organization may be subject to disqualification for a period of time or withdrawal of accreditation for improper submission of a standard to the Board of Standards Review.

Our organization agrees to maintain the American National Standard by reaffirmation, revision, or withdrawal within five years of the date of ANSI approval.
**Company** | **Category** | **Vote** | **Comments** 
---|---|---|---
3M | Producer | Yes |
AIM | User | |
ARMA International | User | |
American Association of Law Libraries | User | |
American Library Association | User | Yes |
American Psychological Association | Producer | Yes |
American Society for Indexing | User | Yes |
American Society for Information Science & Technology | User | Yes |
(AISIS&T) | |
Armed Forces Medical Library | User | |
Association of Information & Dissemination Centers (ADIDIC) | User | |
Association of Jewish Libraries | User | |
Association of Research Libraries | User | Yes |
Auto-Graphics, Inc. | Producer | Yes |
Bibliographical Center for Research | Producer | Yes |
BioOne | Producer | Yes |
Book Industry Communication | User | |
California Digital Library | User | Yes | X |
Cambridge Information Group | Producer | |
Checkpoint Systems, Inc. | Producer | |
Civica Pty Ltd | Producer | |
College Center for Library Automation (CCLA) | User | Yes |
Copyright Clearance Center | General Interest | |
Council on Library and Information Resources | General Interest | Yes |
CrossRef | Producer | Yes |
DAISY Consortium | General Interest | Abstain | X |
Dow Jones Faciva | Producer | Yes |
EBSCO Information Services | Producer | Yes |
EnvisionWare, Inc. | Producer | Yes |
Ex Libris, Inc. | Producer | |
H. W. Wilson Company | User | |
Helsinki University Library | User | |
HighWire Press | Producer | Abstain | X |
INFLIBNET Centre | User | Yes | X |
Index Data | Producer | |
Inera Inc. | General Interest | |
Infor Library and Information Solutions | Producer | Yes |
Innovative Interfaces, Inc. | Producer | Yes |
International DOI Foundation | General Interest | Abstain | X |
Ithaka/JSTOR/ARTstor | Producer | |
John Wiley & Sons, Ltd. | Producer | Abstain | X |
Johns Hopkins University Press/Sheridan Li... | User | Abstain | X |
Library Binding Institute | Producer | |
Library of Congress | General Interest | Yes |
Los Alamos National Laboratory | User | Yes |
MINITEX Library Information Network | User | Yes |
Medical Library Association | General Interest | |
Modern Language Association (MLA) | User | |
Motion Picture Association of America (MPAA) | Producer | Abstain | X |
Music Library Association | User | Yes |
National Agricultural Library | General Interest | Yes |
National Archives and Records Administration | General Interest | Abstain | X |
National Federation of Advanced Informatio... | General Interest | Yes |
National Library of Medicine | General Interest | Abstain | X |
National Security Agency | General Interest | Yes |
New England Journal of Medicine | Producer | Yes |
NetXtag, Inc. | General Interest | |
OCLC Online Computer Library Center | Producer | Yes | X |
Polaris Library Systems | Producer | Yes |
Publishers Licensing Society Ltd. | General Interest | |
Recording Industry Association of America | Producer | Abstain | X |
Reed Elsevier | Producer | Abstain | X |
Ringgold, Inc. | General Interest | Yes |
SAGE Publications | Producer | Yes |
Sarrels Solutions, Inc. | Producer | Yes |
SirsDynx | Producer | Yes |
Society for Technical Communication (STC) | User | Yes |
Society of American Archivists | User | Abstain | X |
Special Libraries Association (SLA) | User | Yes |
Standards Australia | General Interest | Abstain | X |
Swets Information Services | Producer | Abstain | X |
The Library Corporation (TLC) | Producer | Yes |
Thomson Reuters | Producer | Yes |
Triangle Research Libraries Network | User | Yes |
U. S. Department of Defense, Defense Techn... | General Interest | Abstain | X |
U. S. Government Printing Office | General Interest | |
VTLS, Inc. | Producer | |

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