



**From:** Todd Carpenter  
ISO/TC46/SC9 Secretariat

**Title:** Ballot to approve Worldwide Independent Network ('WIN') Category D liaison with TC46/SC9/WG10, International Standard Recording Code (ISRC)

**Source:** ISO Electronic Balloting system

**Project(s):** 3901

**Status:** ISO C/S has confirmed that WIN meets the eligibility criteria for a Category D liaison.

**Additional information:** Attached are a screenshot of the ballot and the application from WIN for the liaison.

**REQUESTED ACTION**

Circulated to P- and O-members, and to technical committees and organizations in liaison for:

- information
- discussion at  
[venue/date of meeting]
- comments by  
[date]
- voting (P-members only by: 2015-01-16)

*P-members of the technical committee or subcommittee concerned have an obligation to vote.*

Electronic ballot screenshot

Information		Participants		Audience	
<b>Metadata</b> [⌵]					
Reference	N797, WIN Reason	Committee	ISO/TC 46/SC 9		
Type	CD (Committee Internal Ballot)				
Start date	2014-12-17	End date	2015-01-16		
State	Created	Created on	2014-12-16 16:53:38.0		
English title	Approval of Worldwide Independent Network (WIN) Category D ballot with TC46/SC9/W310, International Standard Recording Code (ISRC)				
<b>Reference documents and links</b> [⌵]					
<input type="checkbox"/> Application from the Worldwide Independent Network (WIN) to be granted formal category D ballot status within ISO group ISO TC46/SC9/W310 [⌵]					
<b>Questions and answers</b> [⌵]					
No.	Questions	Possible answers			
1	Do you approve the appointment of the Worldwide Independent Network (WIN) as a Category D ballot with TC46/SC9/W310, International Standard Recording Code (ISRC)?	Yes No Abstain*			
(*) A Comment is required for this answer value.					



19 September 2014

Application from the Worldwide Independent Network ('WIN') to be granted formal category D liaison status within ISO group ISO TC/46/SC9

This is an application for the Worldwide Independent Network ('WIN') to be granted formal category D liaison status within ISO group ISO TC/46/SC9, with an interest from the outset to consider a transfer to category A status at a point in the future to be determined.

This application follows the layout of the requests for information kindly provided by Ms Mary Lou Pelapat of ISO to Mr Charlie Phillips of WIN by email on 17 September 2014.

**1. About WIN:**

WIN is a representative organization exclusively for the worldwide independent music company community. It is a network of international trade associations who together represent many thousands of independent music companies and sound recording producers in many territories around the world.

WIN's membership fully understands the significance of improved adoption and understanding of standards, with particular regard to those relevant to the trade in sound recordings, and fully supports this application.

WIN was founded in July 2006 in response to business, creative and market access issues faced by the independent sector everywhere. For independent music companies and their national trade associations worldwide, WIN is a collective voice and platform. When appropriate it also acts as an advocate, instigator and facilitator for its continually growing membership.

WIN's membership stretches across every continent, with trade associations in all the well-developed legitimate music markets taking a particularly active role - including AIM (UK), A2IM (USA), AIR (Australia), CIMA (Canada), VUT (Germany), IMNZ (New Zealand), AIRCO (South Africa), UFI (Spain); ABMI (Brazil); AMAEI (Portugal) – and Impala representing the whole of Europe. More information on WIN is available at [www.winformusic.org](http://www.winformusic.org).

WIN is a non-incorporated division of the UK's Association of Independent Music ('AIM'). The WIN Secretariat is hosted by AIM in the UK with AIM's Chairman and CEO Alison Wenham acting as Chair of WIN.

AIM is a not for profit trade association, incorporated in 1999 to represent the interests of independent music companies and sound recording producers in the UK. More information on AIM is available at [www.musicindie.com](http://www.musicindie.com)

**2. WIN acceptance of the ISO general requirements**

WIN has been asked to agree to the following general requirements of ISO:

- *liaison shall operate in both directions, with suitable reciprocal arrangements;*

Through our regular communication channels, we will ensure that information relating to SC9 will be communicated to WIN's members, as well as ensuring that SC9 is informed of the views expressed by the WIN membership, so that they are represented within SC9.

- *desirability of liaison shall be taken into account at an early stage of the work.*



We understand that revision work is already in progress, and wish to participate in this work. The communication strategy set out above will ensure that liaison is taken into account at a very early stage in any context.

- *shall accept the policy based on the ISO/IEC Directives (see attached ISO/IEC Directives Part 1) concerning copyright, whether owned by the liaison organization or by other parties.*
- *The statement on copyright policy will be provided to the liaison organization with an invitation to make an explicit statement as to its acceptability. The cooperating organization is not entitled to make any charges for documents submitted*
- *Shall agree to ISO/IEC procedures, including copyright or IPR (see 2.13) shall accept the requirements of 2.14 on patent rights.*

We agree and accept all these points. In particular, we confirm that we have received and reviewed the policies set out in ISO/IEC Directives, Part 1, Consolidated ISO Supplement, 2014. We accept the copyright policies contained in the ISO/IEC Directives. Please accept this application as an explicit statement as to WIN's acceptance of this policy. We agree to ISO/IEC procedures, including copyright and IPR, and accept the requirements of section 2,14 of the Directives on patent rights.

### **3. Information relating to requirements for application of category D liaison**

- It is understood that ISO/CS will check various aspects of the status of WIN with BSI in the UK. We will submit this application form to BSI, and are very happy to provide BSI with any additional information they require in relation to this application.
- We hereby confirm that both WIN and AIM are not-for-profit.
- WIN is able to demonstrate that it has the competence and expertise to contribute to the development of International Standards: WIN's members already adopt ISO standard ISO3901 (ISRC) and standards such as DDEX electronic release, sales report and MLC messaging. WIN has worked closely with IFPI on all aspects of ISRC over a long time, and is part of a permanent governance/consultation committee on ISRC. WIN is familiar with both international standardization procedures, and the practical aspects of their implementation among the WIN membership.
- WIN has a process for stakeholder engagement and consensus decision-making to develop the input it provides to ISO: this is already in place through WIN's current communication and consensus gathering processes. WIN's overall strategy is guided by a Council of more than 20 heads of leading international independent music companies (<http://winformusic.org/about/win-council/>), and it is this Council which will oversee the relationship between WIN and SC9. The Council, as well as WIN trade association members, meet at least twice per year in person, and communicate throughout the year by email and conference calls. The membership of WIN includes technical experts and committees dealing with digital media and e-commerce issues, including the adoption of standards and identifiers. We have reviewed the Guidance for ISO liaison organizations 'Engaging stakeholders and building consensus' and are confident that we satisfy the requirements overall, and those set out in 3.2 in particular.

### **4. Other information requested by ISO not covered in the submissions above**

- *Provide a written request giving the reasons why you think that it would be beneficial to establish a liaison with the TC:*
  - In addition to the information contained elsewhere in this application, WIN believes that its participation as a liaison organization in the work of TC46/SC9 will both increase the global relevance of the standards developed in SC9 by ensuring that, as



far as possible, they meet the needs of WIN's members, and ensure that WIN's members are well informed about the work being undertaken in SC9 and are therefore able to implement these standards as soon as practicable. While WIN works closely with bodies that represent other parts of the music industry such as IFPI and CISAC, its members have distinctive needs and ambitions that need to be accommodated in SC9 standards if these are to be as useful as possible.

- *Provide the list of members to demonstrate membership-based and open to members worldwide or over a broad region:*
  - a list of WIN's trade association members is available at <http://winformusic.org/win-members/> . As noted above, the current list of WIN Council members is available at <http://winformusic.org/about/win-council/>
- *Indicate the contact information and the persons who you would like to name as experts and their contact information:*
  - Contact and technical expert:
    - Charlie Phillips
  - Job title:
    - Head of Legal and Commercial Affairs
  - Postal Address:
    - Worldwide Independent Network c/o Association of Independent Music, Lamb House, Church Street, Chiswick, London, W4 2PD
  - Phone:
    - +44 (0) 208 994 5599
  - Email:
    - [charlie@musicindie.com](mailto:charlie@musicindie.com)

## **5. Conclusion**

We trust that this application contains all information required for Category D Liaison status. ISO is invited to contact WIN using the details above if it requires clarification on any of the submissions in this application, or requires any additional information.

WIN

September 2014